

**PATENT**  
**Attorney Docket No. 05725.0505**  
**Application No.: 09/838,197**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
David W. CANNELL et al.	)	Group Art Unit: 1751
	)	
Application No.: 09/838,197	)	Examiner: Elsa B. Elhilo
	)	
Filed: April 20, 2001	)	Mail Stop RCE
	)	
For: COMPOSITION AND METHODS	)	
FOR LANTHIONIZING KERATIN	)	
FIBERS USING AT LEAST ONE	)	
ORGANIC NUCLEOPHILE AND	)	
AT LEAST ONE HYDROXIDE	)	
ION GENERATOR	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being transmitted by facsimile to the U.S. Patent and Trademark Office on May 5, 2004.

By: Maria T. Bautista  
Maria T. Bautista

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed with a Request for Continued Examination in the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: May 5, 2004

By: Maria T. Bautista  
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OMB No. 0651-0011

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	05725.0505-00000	Appln. No.	09/838,197
Applicant	Cannell et al.		
Filing Date	April 20, 2001	Group:	1751

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS						
Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Schoon, Douglas D., "Hair structure and chemistry simplified", Revised edition, pp. 191-192, Milady Publishing Co. (1993).
	Robbins, Clarence R., "Chemical and physical behavior of human hair", pp. 124-126, 148-151, and 162-163, Springer (2002).
	BASF Corporation on-line catalog, <a href="http://www.basf.com/businesses/consumer/cosmeticingredients/pdfs/ethnic_haircare.pdf">http://www.basf.com/businesses/consumer/cosmeticingredients/pdfs/ethnic_haircare.pdf</a>

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce